

09/889640  
JC18 Rec'd PCT/PTO 19 JUL 2001

CASE 21-21960/A/PCT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#5A  
RJA  
10-30-02

IN RE PCT NATIONAL STAGE APPLICATION OF  
ANDREAS MÜHLEBACH ET AL  
INTERNATIONAL APPLICATION NO. PCT/EP 00/00097  
FILED: JANUARY 10, 2000

Group Art Unit: unassigned  
Examiner: unassigned

FOR:  $\alpha$ -HALOGENATED ACID ESTERS WITH  
POLYVALENT ALCOHOLS AS ATOM  
TRANSFER RADICAL POLYMERIZATION  
INITIATORS

U.S. APPLICATION NO: UNASSIGNED  
35 USC 371 DATE:

Assistant Commissioner for Patents  
Washington, D.C. 20231

**PRELIMINARY AMENDMENT**

Sir:

Kindly amend this application as follows prior to calculation of the filing fee and consideration on the merits.

**IN THE CLAIMS**

Please cancel claim 7.

Kindly replace claims 4 and 6 by the following claims.

A1  
4. (amended) A polymer composition comprising a polymer or block copolymer (V) according to claim 1, wherein  $R_1$ ,  $R_2$ , A, B, x, y and m are as defined in claim 1, and at least one additive customary in polymer compositions.